

OAHU CANDIDATES-
SUBMIT 1 ORIGINAL AND 1 COPY

NEIGHBOR ISLAND CANDIDATES-
SUBMIT 1 ORIGINAL AND 2 COPIES

STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION

DISCLOSURE REPORT
CANDIDATE COMMITTEE

COPY

PLEASE TYPE OR PRINT CLEARLY WITH INK (INSTRUCTIONS FOR COMPLETING THE DISCLOSURE REPORT CAN BE FOUND IN THE "GUIDEBOOK FOR CANDIDATE COMMITTEES.")

SECTION I-CANDIDATE AND CANDIDATE COMMITTEE:

(a) Candidate Name:
Kirk William Caldwell

(b) Committee Name: Friends of Kirk Caldwell

(c) Mailing Address: P O Box 61208
Honolulu, HI 96839

(d) Phone (Bus) 539-0400 (Res)
Treasurer's

SECTION II-TYPE OF REPORT:

(See the Schedule of Reporting Dates to complete this section)

☐ 1st Preliminary Primary ☐ Amended ☐ First ☐ Third
☐ 2nd Preliminary Primary ☐ Second ☐ Fourth
☐ Final Primary ☐ Short Form¹

☐ Preliminary General
☐ Final Election Period

☒ Supplemental

REPORTING PERIOD

7/1/03 through 12/31/03

SECTION III-SUMMARY OF RECEIPTS AND DISBURSEMENTS
(Complete Section IV on the Back of this Form Before Completing This Section)

	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD ² TOTAL TO DATE
1. Cash on Hand at the Beginning of the Election Period ²		4,069.52
2. Cash on Hand at the Beginning of this Reporting Period.....	4,493.52	
3. Total Receipts (From Line 15).....	9,084.65	9,584.65
4. Subtotal (Add Lines 2 and 3 for Column A and Lines 1 and 3 for Column B).....	13,578.17	13,654.17
5. Total Disbursements (not including Unpaid Expenditures) (From Line 19).....	3,034.65	3,110.65
6. Cash on Hand at the Closing of this Reporting Period (Subtract Line 5 from Line 4)....	10,543.52	10,543.52
7. Total Loans at the Closing of this Reporting Period.....	9,700.51	
8. Total Unpaid Expenditures at the Closing of this Reporting Period.....	0.00	
9. Debts Owed at the Closing of this Reporting Period (Add Lines 7 and 8).....	9,700.51	
10. Surplus/Deficit (Subtract Line 9 from Line 6).....	(843.01)	

I hereby certify that the information on this report and all attached Schedules are true, correct and complete to the best of my knowledge.

Candidate Signature _____ Date 1/30/04 Treasurer Signature Mary Beth Momy Date 1/30/04

¹ Short Form is checked if the candidate is filing a Preliminary, Final or Supplemental Report and has aggregate contributions and aggregate expenditures for the reporting period totaling \$2,000 or less.
² An Election Period is the two-year period between general election days if a candidate is seeking nomination or election to a two-year office and the four-year period between general election days if a candidate is seeking nomination or election to a four-year office.

SECTION IV-DETAILED SUMMARY OF RECEIPTS AND DISBURSEMENTS
(If Necessary, Complete Schedules A through E Before Completing This Section)

RECEIPTS	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE	
11. Contributions From:			11
(a) Individuals/Other Entities/Noncandidate Committees/Political Parties			11(a)
(i) Monetary and Non-Monetary Contributions of \$100 or Less.....	200.00	250.00	11(a)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100.....	6,050.00	6500.00	11(a)(ii)
(iii) Subtotal (Add Lines 11(a)(i) and 11(a)(ii)).....	6,250.00	6,750.00	11(a)(iii)
(b) Candidate or Candidate's Immediate Family			11(b)
(i) Monetary and Non-Monetary Contributions of \$100 or Less.....	0	0	11(b)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100.....	0	0	11(b)(ii)
(iii) Subtotal (Add Lines 11(b)(i) and 11(b)(ii)).....	0	0	11(b)(iii)
12. Total Contributions (Add Lines 11(a)(iii) and 11(b)(iii)).....	6,250.00	6,750.00	12
13. Public Funds and Other Receipts.....	0	0	13
14. Loans.....	2,834.65	2,834.65	14
15. Total Receipts (Add Lines 12 through 14).....	9,084.65	9,584.65	15
DISBURSEMENTS			
16. Expenditures.....	3,034.65	3,110.65	16
17. Loans Repaid or Forgiven.....	0	0	17
18. Unpaid Expenditures Paid or Forgiven.....	0	0	18
19. Subtotal Disbursements (Add Lines 16 through 18).....	3,034.65	3,110.65	19
20. Unpaid Expenditures.....	0		20
21. Total Disbursements (Add Lines 19 and 20).....	3,034.65	3,110.65	21

CHECK ONLY ONE BOX
USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW

☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE
COMMITTEES/POLITICAL PARTIES

☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION

SCHEDULE A
MONETARY AND NON-MONETARY CONTRIBUTIONS
CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

PAGE 1 OF 2

Kirk W. Caldwell, Friends of Kirk Caldwell

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
7/15/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Bert Kobayashi 1001 Bishop Street, #1570 Honolulu, HI 96813	Contractor Kobayashi Dev. Group	1,000.00	1,000.00
7/15/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Dennis Mitsunaga 747 Amana Street, #216 Honolulu, HI 96814	Contractor Mitsunaga & Assoc.	1,000.00	1,000.00
7/15/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Dowling Compnay, Inc. Campaign Funds P O Box 1417 Wailuku, HI 96793		1,000.00	1,000.00
7/15/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION James Kometani 1259 S. Beretania St., # 5 Honolulu, HI 96814	Realtor	1,000.00	1,000.00
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Tesoro Hawaii PAC P O Box 3379 Honolulu, HI 96842		300.00	300.00
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Hawaii Committee on Political Education (COPE), AFL-CIO Political Contributions 320 Ward Ave, # 209 Honolulu, HI 96814		200.00	200.00

1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page).....

4,500.00

2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total
to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(iii)).....

Form CC-5(A) (Rev. 5/99)

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on
Schedule E.

CHECK ONLY ONE BOX
USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW

- ☐ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE
COMMITTEES/POLITICAL PARTIES
- ☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION

SCHEDULE A
MONETARY AND NON-MONETARY CONTRIBUTIONS
CANDIDATE COMMITTEE

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Kirk W. Caldwell, Friends of Kirk Caldwell

PAGE 2 OF 2

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION R J Reynolds Tobacco Company P O Box 2955 Winston-Salem, NC 27102		250.00	250.00
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Special Political Education Committee Bank of Hawaii P O Box 2900 Honolulu, HI 96846		400.00	400.00
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Citigroup Inc. Political Action Committee Federal/State Multicandidate Committee 399 Park Avenue New York, NY 10043		500.00	500.00
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION OEPAC P O Box 88298 Honolulu, HI 96830-8298		200.00	200.00
12/30/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Richard Gushman, II 700 Bishop Street, # 200 Honolulu, HI 96813		200.00	200.00
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			

1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page).....

1,550.00

2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total
to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(iii)).....

6,050.00

Form CC-5(A) (Rev. 5/99)

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on
Schedule B.

**STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION**

**SCHEDULE B
EXPENDITURES
CANDIDATE COMMITTEE**

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

PAGE 1 OF 1

Kirk W. Caldwell, Friends of Kirk Caldwell

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
7/25/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Happy Shirts Inc. 1320 Liona Street Honolulu, HI 96814	Promotional Items	975.78
7/31/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Service Printers, Inc. 1829 Dillingham Blvd. Honolulu, HI 96819-4020	Promotional Publication	1,067.64
8/06/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Happy Shirts Inc. 1320 Liona Street Honolulu, HI 96814	Promotional Items	100.00
8/14/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Moiliili Community Center 2535 South King Street Honolulu, HI 96826	Promotional Publication	50.00
10/21/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Oriental Trading Company 4206 S. 108th Street Omaha, NE 68137	Promotional Items	437.06
12/31/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Doug Behrens Design P O Box 1674 Honolulu, HI 96806	Promotional Publication	200.00
12/05/03	<input type="checkbox"/> NON-MONETARY CONTRIBUTION Gaspro 2305 Kamehameha Highway Honolulu, HI 96819	Promotional Items and Supplies	204.17
1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page).....			3,034.65
2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report).....			3,034.65

**STATE OF HAWAII
CAMPAIGN SPENDING COMMISSION**

**SCHEDULE E
UNPAID EXPENDITURES
CANDIDATE COMMITTEE**

NOTE: EXPENDITURES ARE CONSIDERED MADE WHEN THE PRODUCT IS DELIVERED OR THE SERVICE IS RENDERED (ACCRUAL METHOD OF ACCOUNTING).

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

Kirk William Caldwell, Friends of Kirk Caldwell

PAGE 1 OF 1

DATE OF UNPAID EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR	AMOUNT OF UNPAID EXPENDITURE AT BEGINNING OF THIS PERIOD	NEW UNPAID EXPENDITURE AMOUNT THIS PERIOD	AMOUNT PAID OR FORGIVEN THIS PERIOD	AMOUNT OF UNPAID EXPENDITURE AT CLOSING OF THIS PERIOD
	PURPOSE OF UNPAID EXPENDITURE				
6/6/02+	Kirk Caldwell (cash) 1099 Alakea Street, # 1400 Honolulu, HI 96813 Ashford & Wriston A Limited Liability Law Partnership LLP Campaign Loan	842.36	0	<input type="checkbox"/> FORGIVEN 0	842.36
6/22/02+	Kirk Caldwell (check) 1099 Alakea Street, # 1400 Honolulu, HI 96813 Ashford & Wriston A Limited Liability Law Partnership LLP Campaign loan	442.71	254.17	<input type="checkbox"/> FORGIVEN 0	696.88
4/04/02+	Kirk Caldwell (credit card) 1099 Alakea Street, # 1400 Honolulu, HI 96813 Ashford & Wriston A Limited Liability Law Partnership LLP Campaign Loan	5,580.79	2,580.48	<input type="checkbox"/> FORGIVEN 0	8,161.27
				<input type="checkbox"/> FORGIVEN	
				<input type="checkbox"/> FORGIVEN	

1. SUBTOTAL (This Page).....	2,834.65	0	9,700.51
2. TOTAL NEW UNPAID EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 20 of the Disclosure Report).....	2,834.65		
3. TOTAL UNPAID EXPENDITURES PAID OR FORGIVEN THIS PERIOD (Last Page Only) (Transfer total to Line Number 18 of the Disclosure Report).....		0	
4. TOTAL UNPAID EXPENDITURES AT THE CLOSING OF THIS PERIOD (Last Page, Only) (Transfer total to Line Number 8 of the Disclosure Report).....			9,700.51

Form CC-5(E) (Rev. 5/99)

If an unpaid expenditure is forgiven, the unpaid expenditure must also be reported as a "Non-Monetary Contribution" on Schedule A. The forgiven unpaid expenditure does not have to be reported as an "Expenditure" on Schedule B.